

# Practitioner's Docket No. 57152 (70551) PATENT

(Amendment Transmittal--page 1 of 4)

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

AMENDMENT TRANSMITTAL  1. Transmitted herewith is an amendment for this application.  STATUS  2. Applicant is  [ ] a small entity. A statement:     [ ] is attached.     [ ] was already filed.  [ X ] other than a small entity.  EXTENSION OF TERM  NOTE: "Extension of Time in Patent Cases (Supplement Amendments) — If a timely and complete response has been filed after Non-Final Office Action, an extension of time is not required to permit filing and/or entry of an additional amendment after expiration of the shortened statutory period.  If a timely response has been filed after a Final Office Action, an extension of time is required to permit filing and/or entry of an Notice of Appeal has been filed after a Final Office Action, an extension of time is required to permit filing and/or entry of a Notice of Appeal has been filed within the shortened statutory period, the period has ceased to run." Notice of Course, If a Notice of Appeal has been filed within the shortened statutory period, the period has ceased to run." Notice of December 10, 1985 (1061 O.G.  CERTIFICATE OF MAILING/TRANSMISSION (37 C.F.R. SECTION 1.8(a))  I hereby certify that, on the date shown below, this correspondence is being:  MAILING  [x] deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Commissioner for Patents, Washington, D.C. 20231.    Application   Application	In re application of: Application No.: Filed: For:		M. Hamamoto, et al. 10/085,181 February 27, 2002 MOVING APPARATUS	Group No.: Examiner:	3644 Dinh, Tien Quang			
EXTENSION OF TERM  NOTE: "Extension of Time in Patent Cases (Supplement Amendments) — If a timely and complete response has been filed after Non-Final Office Action, an extension of time is not required to permit filing and/or entry of an additional amendment after expiration of the shortened statutory period.  If a timely response has been filed after a Final Office Action, an extension of time is required to permit filing and/or entry of an Notice of Appeal or filing and/or entry of an additional amendment after expiration of the shortened statutory period unless the timely-filed response placed the application in condition for allowance. Of course, if a Notice of Appeal has been filed within the shortened statutory period, the period has ceased to run." Notice of December 10, 1985 (1061 O.G.  CERTIFICATE OF MAILING/TRANSMISSION (37 C.F.R. SECTION 1.8(a))  I hereby certify that, on the date shown below, this correspondence is being:  MAILING  FACSIMILE  [x] deposited with the United States Postal Service [] transmitted by facsimile to the Patent and Trademark Office (703) ————————————————————————————————————								
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EXTENSION OF TERM  NOTE: "Extension of Time in Patent Cases (Supplement Amendments) — If a timely and complete response has been filed after Non-Final Office Action, an extension of time is not required to permit filing and/or entry of an additional amendment after expiration of the shortened statutory period.  If a timely response has been filed after a Final Office Action, an extension of time is required to permit filing and/or entry of an Notice of Appeal or filing and/or entry of an additional amendment after expiration of the shortened statutory period unless the timely-filed response placed the application in condition for allowance. Of course, if a Notice of Appeal has been filed within the shortened statutory period, the period has ceased to run." Notice of December 10, 1985 (1061 O.G.  CERTIFICATE OF MAILING/TRANSMISSION (37 C.F.R. SECTION 1.8(a))  I hereby certify that, on the date shown below, this correspondence is being:  MAILING  FACSIMILE  [x] deposited with the United States Postal Service [] transmitted by facsimile to the Patent and Trademark Office (703) ————————————————————————————————————			STA	TUS	1000 3000			
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I hereby certify that, on the date shown below, this correspondence is being:  MAILING  FACSIMILE  [x] deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Commissioner for Patents, Washington, D.C. 20231.  Faction  FACSIMILE  [] transmitted by facsimile to the Patent and Trademark Office (703)		unless the timely-fil	at or juing ana/or entry of an addition ed response placed the application in	tion, an extension of tin al amendment after exp condition for allowance	ne is required to permit filing and/or entry piration of the shortened statutory period			
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[x] deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Commissioner for Patents, Washington, D.C. 20231.    Comparison of the Patent and Trademark Office (703)	I hereby	certify that, on the da	te shown below, this correspondence is	s being:				
with sufficient postage as first class mail in an envelope addressed to the Commissioner for Patents, Washington, D.C. 20231.    Commissioner for Patents, Washington, D.C. 20231.       Commissioner for Patents, Washington, D.C. 20231.		MAI	LING		FACSIMILE			
Date: March 24, 2003  Kathryn A. Grindrod	[x]	with sufficient posta envelope addressed	ge as first class mail in an to the Commissioner for		ed by facsimile to the Patent and k Office (703)			
Date: March 24, 2003 Kathryn A. Grindrod		ŕ		Bathyn Q	. Thindrol Signature			
· · · · · · · · · · · · · · · · · · ·	Date: March 24, 2003			(type or print name of person certifying)				

NOTE		7 C.F.R. Sections of time	ction 1.645 for ex e in reexaminatio	xtensions of time in interference proceedings, on proceedings.	and 37 C.F.R. Section 1.550(c) for
3.	The proceedings herein are for a patent application and the provisions of 37 C.F.R. Section 1. apply.				sions of 37 C.F.R. Section 1.136
				(complete (a) or (b), as applicable)	
	(a)				er 37 C.F.R. Section 1.136 otal number of months checked
If an a	[ ] [ ] [ ] [ ]	Extension (month one metwo methree refour methre) fifth methre the control of the	hs) onth onths nonths onths onths	Fee for other than small entity \$ 110.00 \$ 410.00 \$ 930.00 \$ 1,450.00 \$ 1,970.00  Fee: \$equired, please consider this a petition	
	[]	An exte	(check of the control	and complete the next item, if application months has already been secured educted from the total fee due for the	able)
	(b)	[X]	Extension fe  Applicant be petition is be	OR  lieves that no extension of term is recing made to provide for the possibility	y that applicant has inadvertently
			overlooked ti	he need for a petition for extension of	f time.

### FEE FOR CLAIMS

4. The fee for claims (37 C.F.R. Section 1.16(b)-(d)) has been calculated as shown below:

	(Col.1) Claims		(Col. 2)	(Col. 3)	(Col. 3) SMALL ENTITY			OTHER THAN A SMALL ENTITY	
	Remaining After Amendme		Highest No. Previously Paid For	Present Extra	Rate	Addit. Fee	OR		Addit. Fee
Total	*	Minus	20	=	x \$9 =	\$		x \$18 =	\$
Indep.	*	Minus	3	=	x \$42 =	\$		x \$84 =	\$
[ ] Fir	st Presentati	ion of Mu	ltiple Depende	nt Claim	+ \$140 =	\$		+ \$280 =	\$
	-				Total Addit. Fee	\$	OR	Total Addit. Fee	\$

<sup>\*</sup> If the entry in Col. 1 is less than the entry in Col. 2, write "O" in Col. 3,

WARNING:

"After final rejection or action (Section 1.113) amendments may be made canceling claims or complying with any requirement of form which has been made." 37 C.F.R. Section 1.116(a) (emphasis added).

(complete (c) or (d), as applicable)

(c) [X] No additional fee for claims is required.

OR

(d) [ ] Total additional fee for claims required \$ \_\_\_\_\_.

#### **FEE PAYMENT**

5.	[]	Attached is a check in the sum of \$  Charge Account No the sum of \$  A duplicate of this transmittal is attached.
		1 de la constitución de la const

<sup>\*\*</sup> If the "Highest No. Previously Paid For" IN THIS SPACE is less than 20, enter "20".

<sup>\*\*\*</sup> If the "Highest No. Previously Paid For" IN THIS SPACE is less than 3, enter "3".

The "Highest No. Previously Paid For" (Total or Indep.) is the highest number found in the appropriate box in Col. 1 of a prior amendment or the number of claims originally filed.

## FEE DEFICIENCY

NOTE: If there is a fee deficiency and there is no authorization to charge an account, additional fees are necessary to cover the additional time consumed in making up the original deficiency. If the maximum, six-month period has expired to charge is included, processing delays are encountered in returning the papers to the PTO Finance Branch in order should be checked. See the Notice of April 7, 1986, (1065 O.G. 31-33).

6. [X] If any additional extension and/or fee is required, charge Account No. \_\_04-1105.

#### AND/OR

[X] If any additional fee for claims is required, charge Account No. 04-1105.

Respectfully submitted

Date: March 24, 2003

By:

13 und a. Tuetres

David A. Tucker Reg. No. 27,840

Attorney for Applicant(s)

Dike, Bronstein, Roberts & Cushman Intellectual Property Practice Group Edwards & Angell, LLP P.O. Box 9169 Boston, MA 02209

Tele: (617)-517-5508 Customer No.: 21,874

330068



Docket No. 57152 (705

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT(S):

M. Hamamoto, et al.

SERIAL NO:

10/085,181

EXAMINER: Dinh, Tien Qua.

OUP: 3644 App 2003

FILED:

February 27, 2002

FOR:

**MOVING APPARATUS** 

CERTIFICATE OF MAILING

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, DC, 20231 on March 20, 2003.

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

RESPONSE TO NON-FINAL OFFICIAL ACTION REQUIRING ELECTION/RESTRICTION

This is in response to the currently outstanding non-final Official Action in the above-identified case dated 24 February 2003.

In the currently outstanding non-final Official Action, the Examiner has:

1. Identified the following species of the present invention that he alleges to be patentably distinct from one another:

Species A that refers to Figs. 1-10, 14-16 and 37-39

Species B that refers to Figs. 11, 14-16, 37-39

Species C that refers to Figs. 12, 14-16, 37-39

Species D that refers to Figs. 13-16, 37-39

Species E that refers to Figs. 15-37

- 2. Required the Applicants to elect one of the foregoing species under 35 USC 121 for prosecution on the merits to which the claims shall be restricted in the event that no generic claim is found to be allowable.
- 3. Indicated that Claims 1-4 and 13-15 are currently deemed to be generic.
- 4. Required Applicants to additionally list all of the pending claims that they deem to be readable on the species that they elect.

- 5. Indicated that upon the allowance of a generic claim, Applicants will be entitled to consideration of claims directed to additional species which are written in dependent form or otherwise include all of the limitations of the allowed generic claim.
- 6. Reminded Applicants that any claims added to this application must specify the species to which they are directed.
- 7. Reminded the Applicants of the requirements of 37 CFR 1.48(b) concerning a change in inventorship arising from the cancellation of claims directed to a non-elected invention.

No further comment concerning items 3, 5 and 6 is deemed to be required in this Response.

With respect to items 1 and 2, Applicants hereby elect Species A (Figures 1-10, 14-16, 37-39) for prosecution in the event that no generic claim is finally held to be allowable.

With respect to item 4, Applicants respectfully submit that pending claims 1-8, 13-15, 18 and 19 are readable on Species A.

With respect to item 7, Applicants note that no claims have been cancelled by the present response to the currently outstanding Official Action, and that hence no action under 37 CFR 1.48(b) needs to be considered at this time.

Finally, Applicants undersigned attorney is unable to confirm from his records the Examiner's comment concerning a telephone call made to him by the Examiner on 22 October 2002 concerning the present election requirement. It, therefore, is assumed that for reasons presently unknown the Examiner's call did not result in a discussion between the Examiner and the undersigned.

Applicants respectfully submit that this communication is fully responsive to the currently outstanding Official Action in the above-identified application. Early substantive consideration and allowance is respectfully requested. U.S. Serial No. 10/085,181 M. Hamamoto, et al.

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Applicants also believe that additional fees beyond those submitted herewith are not required in connection with the consideration of this response to the currently outstanding Official Action. However, if for any reason a fee is required, a fee paid is inadequate or credit is owed for any excess fee paid, you are hereby authorized and requested to charge and/or credit Deposit Account No. **04-1105**, as necessary, for the correct payment of all fees which may be due in connection with the filing and consideration of this communication.

Respectfully submitted,

Date: 24 March 2003

By: 10 mil a. 7 wither

David A. Tucker (Reg. No. 27,840)

Dike, Bronstein, Roberts & Cushman Intellectual Property Practice Group EDWARDS & ANGELL, LLP P.O. Box 9169 Boston, MA 02209

Tel: 617-439-4444 Fax: 617-439-4170

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